

01-24-02

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Please type plus sign inside this box + PTO/SB/05(03/01) Approved for use through 10/31/2002 OMB 0651-0032

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 1.53(b)

Attorney Docket No.	04645.0842
First Inventor	Gan et al.
Title	Pellet Process For Double Current
Express Mail Label No.	EE209745086US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 28]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) [Total Sheets /]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check for \$848.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No:

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME

Michael F. Scalise

Hodgson Russ LLP

ADDRESS

One M&T Plaza, Suite 2000

CITY

Buffalo

STATE

New York

ZIP CODE

14203-2391

COUNTRY

United States of America

TELEPHONE

(716) 856-4000

FAX

(716) 849-0349

Name (Print/Type)

Michael F. Scalise

Registration No. (Attorney/Agent)

34,920

Signature



Date

November 13, 2001

"Express Mail" Mailing Label Number EE209745086US

Date of Deposit November 13, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty

Signature

BFLODOCS:621122

FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

Application Number

Filing Date

11/13/2001

First Named Inventor

Gan et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$848.00)

Attorney Docket Number

04645.0842

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
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☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105	130	205	65	Surcharge - late filing fee or oath	\$
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☐ Applicant claims small entity status. See 37 CFR 1.27.

127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
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2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

139	130	139	130	Non-English specification	\$
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FEE CALCULATION

147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$
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1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$ Code (\$ Code (\$ Code (\$

Fee Description Fee Paid

112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
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113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
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101	740	201	370	Utility filing fee	\$740
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115	110	215	55	Extension for reply within first month	\$
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106	330	206	165	Design filing fee	\$
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116	400	216	200	Extension for reply within second month	\$
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107	310	207	255	Plant filing fee	\$
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117	920	217	460	Extension for reply within third month	\$
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108	740	208	370	Reissue filing fee	\$
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118	1,440	218	720	Extension for reply within fourth month	\$
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114	160	214	80	Provisional filing fee	\$
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128	1,960	228	980	Extension for reply within fifth month	\$
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SUBTOTAL (1)

119	320	219	160	Notice of Appeal	\$
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2. EXTRA CLAIM FEES

Extra Fee from
Claims below

Fee Paid

Total Claims / 26 / - 20** = / 6 / x / 18 / =

\$108

120	320	220	160	Filing a brief in support of an appeal	\$
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Independent Claims / 3 / - 3** = / 0 / x / 84 / =

\$0

121	280	221	140	Request for oral hearing	\$
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Multiple dependent / / x / / =

\$

122	130	122	130	Petitions to the Commissioner	\$
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Large Entity Small Entity

Fee Fee Fee Fee

Code (\$ Code (\$ Code (\$ Code (\$

Fee Description

141	1,280	241	640	Petition to revive - unintentional	\$
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142	1,280	242	640	10 advance copies	\$
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143	460	243	230	Utility issue fee (or reissue)	\$
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103	18	203	9	Claims in excess of 20	\$
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144	620	244	310	Design issue fee	\$
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102	84	202	42	Independent claims in excess of 3	\$
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145	620	245	310	Plant issue fee	\$
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104	280	204	140	Multiple dependent claim if not paid	\$
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122	130	122	130	Petitions to the Commissioner	\$
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109	84	209	42	**Reissue independent claims over original patent	\$
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123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$
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110	18	210	9	**Reissue claims in excess of 20 and over original patent	\$
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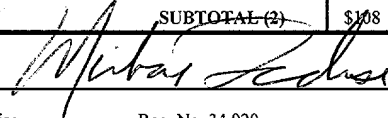
126	180	126	180	Submission of Information Disclosure Statement	\$
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SUBTOTAL (2)

\$108

581	40	581	40	Recording each patent assignment per property (times number of properties)	\$
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SIGNATURE:



146	740	246	370	Filing a submission after final rejection(37 CFR 1.129(a))	\$
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Michael F. Scalise

Reg. No. 34,920

149	740	249	370	For each add'l invention to be examined(37 CFR 1.129(b))	\$
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DATE: November 13, 2001

Telephone: (716) 848-1258

179	740	279	370	Request For Continued Examination (RCE)	\$
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"Express Mail" Mailing Label Number: EE209745086US

169	900	169	900	Request for Expedited Examination of a design appln.	\$
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Date of Deposit: November 13, 2001

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$0

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty
Name

Signature

November 13, 2001
Date of Signature

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